

Subsidiary Legislation made under s.21.

PROSPECTUSES (FEES) NOTICE 2017

(LN. 2017/064)

Commencement **31.3.2017**

Amending enactments	Relevant current provisions	Commencement date
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ARRANGEMENT OF PARAGRAPHS.

Paragraphs

1. Title and commencement.
2. Interpretation.
3. Fees payable to the Financial Services Commission.
4. Fees.
5. Recovery of fees.

SCHEDULE

2005-46

Prospectuses

PROSPECTUSES (FEES) NOTICE 2017

**Subsidiary
2017/064**

PROSPECTUSES (FEES) NOTICE 2017

Subsidiary
2017/064

In exercise of the powers conferred on him by section 21 of the Prospectuses Act 2005, and of all other enabling powers, the Minister has made the following Notice–

Title and commencement.

1. This Notice may be cited as the Prospectuses (Fees) Notice 2017 and comes into operation on 31 March 2017.

Interpretation.

2. In this Notice–

“the Act” means the Prospectuses Act 2005;

“the Listing Act” means the Financial Services (Listing of Securities) Act 2006; and

other expressions have the same meaning as in the Prospectuses Act 2005.

Fees payable to the Financial Services Commission.

3. All fees set by this Notice are payable to the Financial Services Commission, which is the competent authority.

Fees.

4. Pursuant to section 21 of the Act, the fees payable under the Act are set out in the Schedule to this Notice.

Recovery of fees.

5. A fee payable under paragraph 4 that is overdue may be recovered by the FSC as a civil debt due to it in any court of competent jurisdiction.

SCHEDULE

Nature of application	Fee
Approval of a non-equity securities prospectus	£2,500
Approval of an equity securities prospectus	£6,500
Notification of a material change of prospectus (excluding notifications submitted together with a listing change notification)	£500
Approval of a base prospectus (when submitted together with a listing application under the Listing Act)	£1,100
Approval of a prospectus (when submitted together with a listing application under the Listing Act)	£1,100
Approval of a registration document (when submitted together with a listing application under the Listing Act)	£1,100
Approval of a supplement (when submitted together with a listing application under the Listing Act)	£550
Approval of a securities note (when submitted together with a listing application under the Listing Act)	£550
Approval of a summary for a prospectus (when submitted together with a listing application under the Listing Act)	£550